

Proposed Framework for Assessments, linked to Response Planning, following a major Sudden-Onset Crisis

DRAFT WITH SOME CHANGES PROPOSED BY CNA WORKSHOP 23 JANUARY 2009

GOAL Timing	Preparedness	Saving and sustaining lives			Saving livelihoods and re-establishing essential services
	PHASE 0 Before	PHASE 1 First days	PHASE 2 First 2 weeks	PHASE 3 Second 2 weeks	PHASE 4 Second month onwards
ASSESSMENT PURPOSE	Establish procedures & responsibilities for assessments. Prepare tools	Estimate scale & severity of the impact of the event and locate affected populations to inform (i) initial response decisions, and (ii) focus of phase-2 assessment	Initial assessment to (i) inform planning of humanitarian response, and (ii) define focus for follow-on assessments	Detailed situation and trend analyses to (i) adjust ongoing response (ii) inform detailed planning for humanitarian relief and early recovery, and (iii) establish baseline for operational and strategic monitoring	Annual programming for recovery. Rate the magnitude of the crisis on a standard scale.
APPEAL & FUNDING	Proposals for preparedness	Decisions on preliminary emergency funding allocations, if needed	First emergency response proposals/ Flash Appeal	Revised emergency response proposals/ Revised Flash appeal	Action plans/ Consolidated appeal
RESPONSE		Life-saving / sustaining water, shelter, food and medical interventions	Continuing life sustaining activities as needed	Continuing life-sustaining activities as needed. Progressively increasing support to livelihoods and essential social services	Phasing-out life-sustaining activities when possible; increasing focus on (early) recovery
ASSESSMENT TYPE	JOINT CONTINGENCY PLANNING PROCESS	PRELIMINARY SCENARIO DEFINITION	JOINT, MULTI-SECTORAL INITIAL RAPID ASSESSMENT	JOINT, EXPANDED SECTORAL ASSESSMENT; MORE SYSTEMATIC ANALYSIS	IN-DEPTH SECTORAL ASSESSMENTS; JOINT SITUATION MONITORING; FOLLOW-UP JOINT EXPANDED ASSESSMENTS IF/WHEN NEEDED
METHODOLOGY	Joint contingency planning process (secondary data)	Pre-crisis information, initial reports from the field, media reports, flyovers & satellite imagery. Few quick visits, if possible.	Community level discussions, purposive sampling; key informants;	Community and/or household level survey with representative sampling, focus group discussions; monitoring systems	Community and/or household level surveys, FGD, monitoring systems; individual level data including personally identifiable data; triangulation
OUTPUTS	Contingency plan for assessments	Best guess preliminary working scenario	Initial report/situation analysis and planning scenario	Refined report/situation analysis and planning scenario	Continuously updated information; periodic revised situation analyses
RESPONSIBILITY	Government; Humanitarian Country Team	Government; Humanitarian Country Team; experts on hand; UNDAC team (if present)	Government Humanitarian; Country Team; UNDAC mission	Government; Humanitarian Country Team	Government; Humanitarian Country Team
INFORMATION DATA, INDICATORS	Baseline data; common p-codes	Minimal core set; p-codes for new sites	Expanded core set (while maintaining core set)	Fairly comprehensive set (maintaining core set)	Comprehensive set (adapting some of core set)